

AUG 23 2007

PTO/SB/21 (04-07)

TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

26

Application Number

10/575,457

Filing Date

April 12, 2006

First Named Inventor

Zhao, Byron

Art Unit

1633

Examiner Name

Attorney Docket Number

015270-020710US

ENCLOSURES (Check all that apply)



Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)



Reply to Missing Parts/ Incomplete Application



Reply to Missing Parts under 37 CFR 1.52 or 1.53



Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a Provisional Application



Power of Attorney, Revocation Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____



Landscape Table on CD



After Allowance Communication to TC



Appeal Communication to Board of Appeals and Interferences



Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)



Proprietary Information



Status Letter



Other Enclosure(s) (please identify below):

Return Postcard

PTO/SB08B

International Search Report

3Cited references

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Townsend and Townsend and Crew LLP

Signature

Printed name

Lance A. Termes

Date

August 23, 2007

Reg. No.

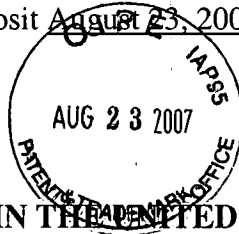
43,184

EXPRESS MAIL LABEL NO. EV 877 038 070 US

Express Mail Label No. EV 877 038 070 USDate of Deposit August 23, 2007PATENT

Attorney Docket No.: 015270-020710US

Client Reference No.: 385-PCT-US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Byron Zhao et al.

Application No.: 10/575,457

Filed: April 12, 2006

For: NEUROPROTECTIVE EFFECTS
OF ATF6

Confirmation No.: 3708

Examiner: Unassigned

Art Unit: 1633

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08B are being called to the attention of the Examiner. Copies of the references 1-3 are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of the Search/Examination report corresponding to the PCT application.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RMK/

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Page 2

PATENT

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Lance A. Termes
Reg. No. 43,184

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61128428 v1

AUG 29 2007

PTO/SB/08A&B (04-07)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/575,457
				Filing Date	April 12, 2006
				First Named Inventor	Zhao, Byron
				Art Unit	1633
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	015270-020710US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	ZHU, et al., "Interaction of ATF6 and Serum Response Factor" <u>Mol. Cell. Biol.</u> , 17(9): 4957-66 (1997)	<input type="checkbox"/>
	2	LIU, et al., "The unfolded protein response" <u>J. Cell Science</u> , 116(10): 1961-1862 (2003)	<input type="checkbox"/>
	3	HONG, et al., "Endoplasmic Reticulum Stress Triggers an Acute Proteasome-Dependent Degradation of ATF6" <u>J. Cell Biochem</u> , 92(4): 723-732 (2004)	<input type="checkbox"/>

Examiner Signature	/Robert Kelly/ (07/03/2008)	Date Considered	/Robert Kelly/ (07/03/2008)
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RMK/